

In re Patent Application of:

**CHAI ET AL.**

Serial No. **10/803,467**

Confirmation No. **5525**

Filed: **MARCH 18, 2004**

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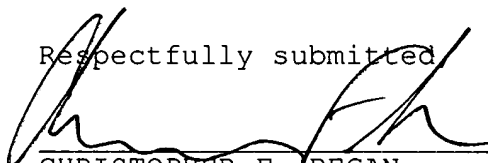
**REMARKS**

The Examiner is thanked for the thorough examination of the present application and for correctly allowing Claims 1-17, 19-28 and 30-37. Claim 29 has been amended to correct the claim dependency, as helpfully pointed out by the Examiner. The changes to Claim 29 do not narrow the claim scope thereof for any reason related to the statutory requirements for patentability. Claims 38-49 have been cancelled without prejudice to Applicants' right to file a divisional application directed to the subject matter thereof.

Applicants request confirmation of consideration of the IDS mailed to the U.S. Patent and Trademark Office on October 13, 2004. Attached are copies of the return postcard, bearing the date the IDS was received by the U.S. Patent Office Mailroom; the IDS; and the cited foreign reference.

It is submitted that all of the claims are patentable. Accordingly, a Notice of Allowance is respectfully requested in due course. Should any minor informalities need to be addressed, the Examiner is encouraged to contact the undersigned attorney at the telephone number listed below.

Respectfully submitted



CHRISTOPHER F. REGAN

Reg. No. 34,906

Allen, Dyer, Doppelt, Milbrath  
& Gilchrist, P.A.

255 S. Orange Avenue, Suite 1401  
Orlando, Florida 32801

407-841-2330

407-841-2343 fax

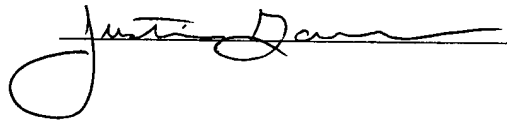
Attorney for Applicants

In re Patent Application of:  
**CHAI ET AL.**  
Serial No. **10/803,467**  
Confirmation No. **5525**  
Filed: **MARCH 18, 2004**

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**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 6<sup>th</sup> day of October, 2005.

A handwritten signature in cursive script, appearing to read "Justin Damm", is written over a horizontal line.



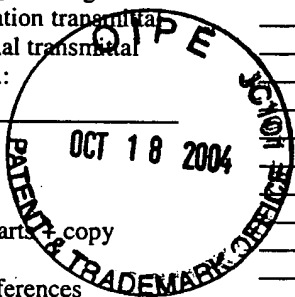
SERIAL/PATENT NO. 10/803,467 FILED/ISSUED 03/18/2004

APPLICANT CHAI ET AL.

KINDLY ACKNOWLEDGE RECEIPT OF ACCOMPANYING PAPERS WITH YOUR MAIL ROOM STAMP.

<input type="checkbox"/> \$ _____ check	<input type="checkbox"/> Appellant's Appeal Brief (x3) - \$320 large entity
<input type="checkbox"/> Authorization - Deposit Account No. _____	<input type="checkbox"/> Status Request
<input type="checkbox"/> Utility application _____ Provisional application _____	<input type="checkbox"/> Transmittal of Formal Drawings (____ sheets)
<input type="checkbox"/> _____ pages, _____ claims, _____ drawing sheets	<input type="checkbox"/> Letter to Official Draftsman
<input type="checkbox"/> CPA transmittal _____ Continuation transmittal _____	<input type="checkbox"/> Part B--Issue Fee Transmittal
<input type="checkbox"/> RCE transmittal _____ Divisional transmittal _____	<input type="checkbox"/> Publication Fee Transmittal
<input type="checkbox"/> Certificate of Express Mail Label No.: _____	<input type="checkbox"/> PCT Request (____ pp.) + Application (____ pp.)
<input type="checkbox"/> Declaration and Power of Attorney	<input type="checkbox"/> National Phase Transmittal Letter
<input type="checkbox"/> Assignment w/Cover Sheet	<input type="checkbox"/> Response to PCT Invitation to Correct Defects
<input type="checkbox"/> Change of Correspondence Address	<input type="checkbox"/> Ch. II Demand _____ Power of Attorney
<input type="checkbox"/> Response to Notice to File Missing Parts + copy	<input type="checkbox"/> Revocation of Prior Powers of Attorney and POA
<input checked="" type="checkbox"/> Citation Under 37 CFR § 1.97 (IDS)	<input type="checkbox"/> Petition for _____ + \$130 Fee
<input checked="" type="checkbox"/> Form PTO-1449 + copies of cited references	<input type="checkbox"/> Terminal Disclaimer
<input type="checkbox"/> Response to Restriction Requirement	<input type="checkbox"/> Request for Correction to Filing Receipt
<input type="checkbox"/> Response to Election Requirement	<input type="checkbox"/> Request for Correction to Assignment and/or cover
<input type="checkbox"/> Amendment Transmittal Form	<input type="checkbox"/> Transmittal of Certified Copy of Priority Document
<input type="checkbox"/> Amendment (Official Action of _____)	<input type="checkbox"/> Preliminary Amendment
<input type="checkbox"/> Request for Extension of Time (____ mos.)	<input type="checkbox"/> Replacement Drawings (____ sheets)
<input type="checkbox"/> Notice of Appeal	<input type="checkbox"/> Request Certificate of Correction + PTO 1050
<input type="checkbox"/>	<input type="checkbox"/> Substitute specification (w/marked up version)
<input type="checkbox"/>	<input type="checkbox"/> Other _____

10/13/04 59921 C. Regan  
DATE FILE NO. ATTORNEY



Case No. 59921



COMMISSIONER FOR PATENTS  
P.O. BOX 1450  
ALEXANDRIA, VA 22313-1450

**COPY**

In re Application of: **CHAI ET AL.**  
Serial No.: **10/803,467**  
Filed: **MARCH 18, 2004**  
For: **METHOD FOR MAKING GROUP III NITRIDE DEVICES  
AND DEVICES PRODUCED THEREBY**

Sir:

Transmitted herewith is an INFORMATION DISCLOSURE STATEMENT in the above-identified application.

1. ☐ This IDS is submitted under 37 C.F.R. § 1.97. No fee is required.
2. ☐ This IDS is submitted under 37 C.F.R. § 1.97(c). Enclosed is a check in the amount of \$ 180.00.
3. ☒ This IDS is submitted under 37 C.F.R. § 1.97(c) and (e). No fee is required.
4. ☐ This IDS is submitted under 37 C.F.R. § 1.97(d) and (e). Enclosed is a check in the amount of \$ 130.00 to cover the petition fee.
5. ☒ The Commissioner is hereby authorized to charge or credit any discrepancies in fee amounts to Deposit Account No. 01-0484.
6. ☒ Please associate this application with Customer No. 27975.



27975

PATENT TRADEMARK OFFICE

Date: October 13, 2004

CHRISTOPHER F. REGAN  
Reg. No. 34,906

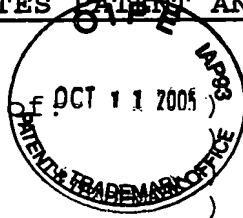
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of  
CHAI ET AL.

Serial No. 10/803,467

Filing Date: MARCH 18, 2004

For: METHOD FOR MAKING GROUP III  
NITRIDE DEVICES AND DEVICES  
PRODUCED THEREBY



SUBMISSION OF AN INFORMATION  
DISCLOSURE STATEMENT UNDER §1.97(c) & (e)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Attached is Form PTO-1449 listing several references for consideration in the examination of the above-identified application. In accordance with current USPTO procedures published 05 AUG 2003, in 1276 OG 55, copies of the U.S. patent documents cited in the form 1449A are not attached. The undersigned would be happy to provide copies of these references if requested. Pursuant to §1.97(e), Applicants, by and through their undersigned attorney, certify pursuant to 37 CFR §1.97(e) that either:

(1) each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement, or

In Re Patent Application of:  
**CHAI ET AL.**  
Serial No: 10/803,467  
Filing Date: **MARCH 18, 2004**

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(2) no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement.

Applicants respectfully request that the references cited herein be considered by the Examiner and officially made of record in accordance with the provisions of 37 CFR §1.97(c) and (e).

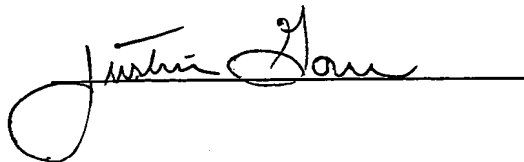
Respectfully submitted,



CHRISTOPHER F. REGAN  
Reg. No. 34,906  
Allen, Dyer, Doppelt, Milbrath  
& Gilchrist, P.A.  
255 S. Orange Avenue, Suite 1401  
Post Office Box 3791  
Orlando, Florida 32802  
407/841-2330  
Attorney for Applicants

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: **COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450**, on this 13<sup>th</sup> day of October, 2004.



**SUBSTITUTE FORM PTO-1449A  
LIST OF PATENTS AND  
APPLICANT'S INFORMATION  
DISCLOSURE STATEMENT**

Atty Docket: 59921  
Serial No.: 10/803,467  
Applicant: CHAI ET AL.  
Filing Date: MARCH 18, 2004  
Group:

OCT 11 2005


**8. PATENT DOCUMENTS**

Examiner Initials		Document Number	Date	Name	Class	Sub Class	Filing Date
	AA	3,959,045	05/25/76	Antypas			
	AB	5,724,376	03/3/98	Kish Jr. et al.			
	AC	6,072,197	06/6/00	Domen et al.			
	AD	6,448,102	09/10/02	Kneissl et al.			
	AE	2003/ 024472	02/06/03	Gallagher			
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						

**FOREIGN PATENT DOCUMENTS**

		Document Number	Date	Country	Class	Sub Class	Translation
	AM	199 53 839	05/10/01	DE			
	AN						
	AO						
	AP						

**OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)**

	AQ	
	AR	
	AS	

**EXAMINER:**
**DATE CONSIDERED:**

**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.